## New bounds on the half-duplex communication complexity

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[^0]Communication models

## Classical communication model

Introduced by Andrew Yao in 1979.


Bob


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Communication complexity of $f$ is a minimal number of messages that is enough to compute $f$, denoted $D(f)$.

## Half-duplex communication model

Players talk over half-duplex channel ("wakie-talkie") [HIMS18]


Bob


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[HIMS18] considered three variants of how silent rounds work.

- Half-duplex with silence: the players receive some special symbol (i.e., silence), neither 0 nor 1 .
- Half-duplex with zero: the players receive 0 (indistinguishable from normal round).
- Half-duplex with an adversary: the players receive bits chosen by an adversary (or some noise).


## Basic bounds

For every $f:\{0,1\}^{n} \times\{0,1\}^{n} \rightarrow\{0,1\}$ the following holds.

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(half-duplex communication with silence can be simulated by a classical protocol sending three bits per each round of the original protocol).

Note that multiplicative constants are important.

## Our results

## Communication problems

We study complexity of the following communication problems.

- Disjointness: $\operatorname{DISJ}_{n}:\{0,1\}^{n} \times\{0,1\}^{n} \rightarrow\{0,1\}$, such that $\operatorname{DISJ}_{n}(x, y)=1 \Longleftrightarrow \forall i: x_{i}=0 \vee y_{i}=0$.
- Karchmer-Wigderson game for MODp function defined by $\operatorname{MOD} p(x)=0 \Longleftrightarrow x_{1}+\ldots+x_{n}=0 \bmod p$,
- Karchmer-Wigderson game for RecMaj function defined by

$$
\begin{aligned}
& \operatorname{RecMaj}_{n}\left(x_{1}, \ldots, x_{n}\right)=\operatorname{Maj}_{3}\left(\operatorname{RecMaj}_{\frac{n}{3}}\left(x_{1}, \ldots, x_{\frac{n}{3}}\right),\right. \\
& \left.\quad \operatorname{RecMaj}_{\frac{n}{3}}\left(x_{\frac{n}{3}+1}, \ldots, x_{\frac{2 n}{3}}\right), \operatorname{RecMaj}_{\frac{n}{3}}\left(x_{\frac{2 n}{3}+1}, \ldots, x_{n}\right)\right),
\end{aligned}
$$

The Karchmer-Wigderson game for $f:\{0,1\}^{n} \rightarrow\{0,1\}$ :
Alice is given $x \in f^{-1}(0)$, Bob is given $y \in f^{-1}(1)$, and they want to find an $i \in[n]$ such that $x_{i} \neq y_{i}$.

## Summary of results

|  | $\mathrm{EQ}_{n}$ | $\mathrm{IP}_{n}$ | $\mathrm{DISJ}_{n}$ | $\mathrm{KW}_{\mathrm{MOD} 2}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{D}_{s}^{\text {hd }}$ | $\geq n / \log 5$ | $\geq n / 1.67$ | $\geq n / \log 5$ | $\geq 1.12 \log n \quad \star$ |  |
|  | $\leq n / \log 5+o(n)$ |  | $\leq n / 2+O(1)$ | $\leq 1.262 \log n$ |  |
| $\mathrm{D}_{0}^{h d}$ | $\geq n / \log 3$ | $\geq n / 1.234$ | $\geq n / \log 3$ | $\geq 1.62 \log n \quad \star$ |  |
|  | $\leq n / \log 3+o(n)$ |  | $\leq 3 n / 4+o(n)$ | $\leq 1.893 \log n$ |  |
| $\mathrm{D}_{a}^{\text {hd }}$ | $\geq n / \log 2.5$ | $\geq n$ | $\geq n / \log 2.5$ | $=2 \log n$ | $\star$ |

Other bounds:

$$
\begin{array}{ll}
\mathrm{D}_{s}^{h d}\left(\mathrm{KW}_{\text {MOD3 }}\right) \leq 1.893 \log n, & \mathrm{D}_{s}^{h d}\left(\mathrm{KW}_{\text {RecMaj }}\right) \leq 2 \log _{3} n, \\
\mathrm{D}_{s}^{h d}\left(\mathrm{KW}_{\text {MOD5 }}\right) \leq 2.46 \log n, & \mathrm{D}_{0}^{h d}\left(\mathrm{KW}_{\text {RecMaj }}\right) \leq 2 \log _{3} n, \\
\mathrm{D}_{s}^{h d}\left(\mathrm{KW}_{\text {MOD11 }}\right) \leq 3.48 \log n . &
\end{array}
$$

For arbitrary $p \geq 7, \mathrm{D}_{s}^{h d}\left(\mathrm{KW}_{\text {MOD }}\right) \leq 1.16\left\lceil 1+\log _{3} \frac{p}{2}\right\rceil \cdot \log n$.
For arbitrary $p>2$, lower bounds $(\star)$ applies to $K_{M O D}$.

## Non-deterministic communication complexity

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We prove bound relating it to the classical non-deterministic complexity.

For any function $f:\{0,1\}^{n} \times\{0,1\}^{n} \rightarrow\{0,1\}$, we show that

$$
\begin{aligned}
& \mathrm{N}_{s}^{h d}(f)=\mathrm{N}(f) / \log 5+\Theta(\log \mathrm{N}(f)), \\
& \mathrm{N}_{0}^{h d}(f)=\mathrm{N}(f) / \log 3+\Theta(\log \mathrm{N}(f)), \\
& \mathrm{N}_{a}^{h d}(f) \geq \mathrm{N}(f) / \log 3,
\end{aligned}
$$

Highlights of the proofs

## Upper bound on $\mathrm{D}_{0}^{\text {hd }}$ (DISJ)

We start with proving a weaker bound.

## Lemma

For all $n \in \mathbb{N}, \mathrm{D}_{0}^{h d}\left(\operatorname{DISJ}_{n}\right) \leq 5 n / 6+O(\log n)$.

- The players split input strings into blocks of length 2.
- [Phase 1] The players spend $n / 2$ rounds to compare all blocks.
- For some blocks the situation is not clear.
- [Phase 2] Send additional information for every pair of blocks that was processed in a silent round.

Note that we need some case analysis to ensure that there will be at most $n / 3$ silent rounds.

## Upper bound on $\mathrm{D}_{0}^{\text {hd }}$ (DISJ) (contd.)

## Theorem

For all $n \in \mathbb{N}, \mathrm{D}_{0}^{h d}\left(\mathrm{DISJ}_{n}\right) \leq 3 n / 4+o(n)$.

- The players split input strings into blocks of length 2.
- [Phase 1] The players spend $n / 2$ rounds to compare all blocks.
- For some blocks the situation is not clear.
- [Phase 2] Compose new inputs from all the blocks processed in silent rounds and run the protocol recursively.

Given that the number of silent rounds is at most $n / 3$ we get

$$
\mathrm{D}_{0}^{h d}\left(\operatorname{DISJ}_{n}\right) \leq \sum_{i=0}^{\left\lceil\log _{3}(n)\right\rceil} \frac{n}{2 \cdot 3^{i}}+o(n) \leq \frac{3 n}{4}+o(n)
$$

## Lower bounds on $\mathrm{KW}_{\text {MODp }}$

## Theorem

For any $p \geq 2$,

$$
\begin{aligned}
& \mathrm{D}_{s}^{h d}\left(\mathrm{KW}_{\mathrm{MOD} p}\right)>1.12 \log n, \\
& \mathrm{D}_{0}^{h d}\left(\mathrm{KW}_{\mathrm{MOD} p}\right)>1.62 \log n, \\
& \mathrm{D}_{a}^{h d}\left(\mathrm{KW}_{\mathrm{MOD} p}\right) \geq 2 \log n-O(1) .
\end{aligned}
$$

- There is a probability distribution over the inputs:
- at the beginning each player has uncertainty roughly $\log n$ bits about the input of the
- at the end each player knows the input of the other player.
- Each player learns roughly $\log n$ bits of information.
- We upper bound the amount of information the players can learn in one round for all the half-duplex models.


## Open problems

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1. Is there any $\alpha<1$ such that for any function $f$, $D_{0}^{h d}(f) \leq \alpha n+o(n) ?$
2. Is there any function $f$, such that at the same time $D(f) \geq n-o(n)$ and $D_{a}^{h d}(f) \leq \alpha n+o(n)$ for some $\alpha<1$.
3. Prove new lower bound for disjointness using information-theoretic methods.
4. Prove an upper bound on $\mathrm{D}_{0}^{h d}\left(\mathrm{KW}_{\text {MOD }}\right)$.
5. Prove better upper bound on $\mathrm{D}_{s}^{h d}(\operatorname{RecMaj})$.
6. Upper bounds on $\mathrm{IP}_{n}$ in all the models.

## Thanks for your attention!

## Upper bounds on the Karchmer-Wigderson games

## Ternary search

- $\mathrm{D}_{s}^{h d}\left(\mathrm{KW}_{\text {MOD } 2}\right) \leq 2 \log _{3} n+O(1)<1.262 \log n$.
- $\mathrm{D}_{0}^{h d}\left(\mathrm{KW}_{\text {MOD2 }}\right) \leq 3 \log _{3} n+O(1)<1.893 \log n$.
- $\mathrm{D}_{s}^{h d}\left(\mathrm{KW}_{\text {RecMaj }}\right) \leq 2 \log _{3} n$.
- $\mathrm{D}_{0}^{\text {hd }}\left(\mathrm{KW}_{\text {RecMaj }}\right) \leq 2 \log _{3} n$.


## Binary search + encoding [Chin90]

- $\mathrm{D}_{s}^{\text {hd }}\left(\mathrm{KW}_{\text {MOD5 }}\right) \leq 2.46 \log n$.
- $\mathrm{D}_{s}^{h d}\left(\mathrm{KW}_{\text {MOD11 }}\right) \leq 3.48 \log n$.
- For all $p \geq 7, \mathrm{D}_{s}^{h d}\left(\mathrm{KW}_{\mathrm{MOD} p}\right) \leq 1.16\left\lceil 1+\log _{3} \frac{p}{2}\right\rceil \cdot \log n$.


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