# New bounds on the half-duplex communication complexity

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# **Communication models**

Introduced by Andrew Yao in 1979.

Alice



Bob



Introduced by Andrew Yao in 1979.

Alice









 $y \in \{0,1\}^n$ 

1

Introduced by Andrew Yao in 1979.

Alice









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Alice and Bob want to compute f(x, y). Communication complexity of f is a minimal number of messages that is enough to compute f, denoted D(f).

Players talk over half-duplex channel ("wakie-talkie") [HIMS18]

Alice



a the second second

Bob

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Alice



Bob





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Bob

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There are three types of rounds.

- 1. Normal round: one player sends, other player receives.
- 2. Wasted round: both players send.
- 3. Silent round: both players receive.

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[HIMS18] considered three variants of how silent rounds work.

- Half-duplex with silence: the players receive some special symbol (i.e., silence), neither 0 nor 1.
- Half-duplex with zero: the players receive 0 (indistinguishable from normal round).
- Half-duplex with an adversary: the players receive bits chosen by an adversary (or some noise).

For every  $f \colon \{0,1\}^n \times \{0,1\}^n \to \{0,1\}$  the following holds.

1. 
$$D_s^{hd}(f) \le D_0^{hd}(f) \le D_a^{hd}(f) \le D(f).$$

For every  $f : \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1\}$  the following holds.

- 1.  $D_s^{hd}(f) \le D_0^{hd}(f) \le D_a^{hd}(f) \le D(f).$
- 2.  $D(f)/2 \le D_0^{hd}(f) \le D_a^{hd}(f)$

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Note that multiplicative constants are important.

# **Our results**

We study complexity of the following communication problems.

- Disjointness:  $\text{DISJ}_n : \{0,1\}^n \times \{0,1\}^n \to \{0,1\}$ , such that  $\text{DISJ}_n(x,y) = 1 \iff \forall i : x_i = 0 \lor y_i = 0$ .
- Karchmer-Wigderson game for MOD*p* function defined by  $MODp(x) = 0 \iff x_1 + \ldots + x_n = 0 \mod p$ ,
- Karchmer-Wigderson game for RecMaj function defined by

$$\begin{aligned} \mathsf{RecMaj}_n(x_1,\ldots,x_n) &= \mathsf{Maj}_3(\mathsf{RecMaj}_{\frac{n}{3}}(x_1,\ldots,x_{\frac{n}{3}}),\\ \mathsf{RecMaj}_{\frac{n}{3}}(x_{\frac{n}{3}+1},\ldots,x_{\frac{2n}{3}}), \mathsf{RecMaj}_{\frac{n}{3}}(x_{\frac{2n}{3}+1},\ldots,x_n)), \end{aligned}$$

The Karchmer–Wigderson game for  $f : \{0,1\}^n \to \{0,1\}$ : Alice is given  $x \in f^{-1}(0)$ , Bob is given  $y \in f^{-1}(1)$ , and they want to find an  $i \in [n]$  such that  $x_i \neq y_i$ .

#### Summary of results

	$EQ_n$	IP <sub>n</sub>	DISJ <sub>n</sub>	$\mathrm{KW}_{\mathrm{MOD2}}$	
$\mathbf{D}_{s}^{hd}$	$\geq$ $n/\log 5$	$\geq n/1.67$	$\geq n/\log 5$	$\geq 1.12 \log n$	*
	$\leq n/\log 5 + o(n)$		$\leq n/2 + O(1)$	$\leq 1.262 \log n$	
$D_0^{hd}$	$\geq n/\log 3$	$\geq n/1.234$	$\geq n/\log 3$	$\geq 1.62 \log n$	*
	$\leq n/\log 3 + o(n)$		$\leq 3n/4 + o(n)$	$\leq 1.893 \log n$	
$D_a^{hd}$	$\geq$ <i>n</i> /log 2.5	$\geq n$	$\geq n/\log 2.5$	$= 2 \log n$	*

Other bounds:

$$\begin{split} &\mathrm{D}^{hd}_{s}(\mathrm{KW}_{\mathrm{MOD3}}) \leq 1.893 \log n, \quad \mathrm{D}^{hd}_{s}(\mathrm{KW}_{\mathrm{RecMaj}}) \leq 2 \log_{3} n, \\ &\mathrm{D}^{hd}_{s}(\mathrm{KW}_{\mathrm{MOD5}}) \leq 2.46 \log n, \quad \mathrm{D}^{hd}_{0}(\mathrm{KW}_{\mathrm{RecMaj}}) \leq 2 \log_{3} n, \\ &\mathrm{D}^{hd}_{s}(\mathrm{KW}_{\mathrm{MOD11}}) \leq 3.48 \log n. \end{split}$$

For arbitrary  $p \ge 7$ ,  $D_s^{hd}(KW_{MODp}) \le 1.16 \left[1 + \log_3 \frac{p}{2}\right] \cdot \log n$ . For arbitrary p > 2, lower bounds (\*) applies to  $KW_{MODp}$ . We introduce non-deterministic half-duplex communication complexity based on an alternative definition of classical non-deterministic complexity. We introduce non-deterministic half-duplex communication complexity based on an alternative definition of classical non-deterministic complexity.

We prove bound relating it to the classical non-deterministic complexity.

For any function  $f: \{0,1\}^n imes \{0,1\}^n o \{0,1\}$ , we show that

$$\begin{split} \mathrm{N}^{hd}_{s}(f) &= \mathrm{N}(f)/\log 5 + \Theta(\log \mathrm{N}(f)), \\ \mathrm{N}^{hd}_{0}(f) &= \mathrm{N}(f)/\log 3 + \Theta(\log \mathrm{N}(f)), \\ \mathrm{N}^{hd}_{a}(f) &\geq \mathrm{N}(f)/\log 3, \end{split}$$

# Highlights of the proofs

We start with proving a weaker bound.

#### Lemma

For all  $n \in \mathbb{N}$ ,  $D_0^{hd}(\text{DISJ}_n) \leq 5n/6 + O(\log n)$ .

- The players split input strings into blocks of length 2.
- [Phase 1] The players spend n/2 rounds to compare all blocks.
- For some blocks the situation is not clear.
- [Phase 2] Send additional information for every pair of blocks that was processed in a silent round.

Note that we need some case analysis to ensure that there will be at most n/3 silent rounds.

# Upper bound on $D_0^{hd}(DISJ)$ (contd.)

#### Theorem

For all  $n \in \mathbb{N}$ ,  $\mathbb{D}_0^{hd}(\mathrm{DISJ}_n) \leq 3n/4 + o(n)$ .

- The players split input strings into blocks of length 2.
- [Phase 1] The players spend n/2 rounds to compare all blocks.
- For some blocks the situation is not clear.
- [Phase 2] Compose new inputs from all the blocks processed in silent rounds and run the protocol recursively.

Given that the number of silent rounds is at most n/3 we get

$$\mathrm{D}_0^{hd}(\mathrm{DISJ}_n) \leq \sum_{i=0}^{\lceil \log_3(n) \rceil} \frac{n}{2 \cdot 3^i} + o(n) \leq \frac{3n}{4} + o(n).$$

# Lower bounds on $\mathrm{KW}_{\mathrm{MOD}\textit{p}}$

# **Theorem** For any $p \ge 2$ ,

$$\begin{split} & \mathrm{D}_s^{hd}(\mathrm{KW}_{\mathrm{MOD}p}) > 1.12 \log n, \\ & \mathrm{D}_0^{hd}(\mathrm{KW}_{\mathrm{MOD}p}) > 1.62 \log n, \\ & \mathrm{D}_a^{hd}(\mathrm{KW}_{\mathrm{MOD}p}) \geq 2 \log n - O(1). \end{split}$$

- There is a probability distribution over the inputs:
  - at the beginning each player has uncertainty roughly log *n* bits about the input of the
  - at the end each player knows the input of the other player.
- Each player learns roughly log *n* bits of information.
- We upper bound the amount of information the players can learn in one round for all the half-duplex models.

#### **Open problems**

- 1. Is there any  $\alpha < 1$  such that for any function f,  $D_0^{hd}(f) \le \alpha n + o(n)$ ?
- 2. Is there any function f, such that at the same time  $D(f) \ge n o(n)$  and  $D_a^{hd}(f) \le \alpha n + o(n)$  for some  $\alpha < 1$ .
- 3. Prove new lower bound for disjointness using information-theoretic methods.
- 4. Prove an upper bound on  $D_0^{hd}(KW_{MODp})$ .
- 5. Prove better upper bound on  $D_s^{hd}(\text{RecMaj})$ .
- 6. Upper bounds on  $IP_n$  in all the models.

# Thanks for your attention!

#### **Ternary search**

- $D_s^{hd}(KW_{MOD2}) \le 2\log_3 n + O(1) < 1.262\log n$ .
- $D_0^{hd}(KW_{MOD2}) \le 3 \log_3 n + O(1) < 1.893 \log n$ .
- $D_s^{hd}(KW_{RecMaj}) \leq 2\log_3 n$ .
- $D_0^{hd}(KW_{RecMaj}) \le 2\log_3 n$ .

#### Binary search + encoding [Chin90]

- $D_s^{hd}(KW_{MOD5}) \le 2.46 \log n$ .
- $D_s^{hd}(KW_{MOD11}) \leq 3.48 \log n$ .
- For all  $p \ge 7$ ,  $D_s^{hd}(KW_{MODp}) \le 1.16 \left\lceil 1 + \log_3 \frac{p}{2} \right\rceil \cdot \log n$ .